

Privacy Notice for Shareholders of Probiodrug AG (valid from 25 May 2018)

As of 25 May 2018, new regulations on data protection will apply with regard to the General Data Protection Regulation (GDPR). Probiodrug AG (hereinafter referred to as "**we**" or "**us**") attaches great importance to compliance with data protection regulations. With the following information we would like to inform you as our shareholder about the processing of your personal data and the rights to which you are entitled under data protection law.

1. Categories of personal data and sources the personal data originate

We process personal data, which has been provided to us by or on behalf of the respective shareholders usually in the context of the preparation and the holding of the Annual General Meeting. These data include the respective shareholder's name and place of residence and the number of shares held by the shareholder as well as possibly your e-mail address or data on the participation in the Annual General Meeting.

2. Purpose and legal basis for the processing of personal data

We process your personal data in accordance with the scope of the GDPR, the Federal Data Protection Act (BDSG), the AktG and all other relevant legal provisions.

2.1 Data processing based on legal requirements (Art. 6 para. 1 lit. c) GDPR)

We process your personal data for the purposes provided for in the AktG, in particular, to handle our Annual General Meetings.

In addition, we may also process your personal data to meet further legal obligations, such as regulatory requirements or retention obligations under stock corporation law, commercial law and tax law. For example, if the voting right is to be exercised by a proxy, proof of the authorization must be verifiably recorded for three years (Sec. 134 para. 3 sentence 5 AktG).

2.2 Data processing for the protection of legitimate interests (Art. 6 para. 1 lit. f) GDPR)

We process your data in a permissible manner to protect our legitimate interests. This is the case if, for example, in the event of capital increases we are obliged to exempt individ-

ual shareholders from information on subscription offers due to their nationality or place of residence to comply with securities regulations of the countries concerned.

In addition, your data can be used to compile statistics. We have legitimate interests regarding the compilation of such statistics as they allow us to present and to assess the shareholder performance.

We will inform you in advance within the framework of the statutory provisions if we wish to process your data for a purpose other than those mentioned here.

3. Transfer of personal data

To handle the Annual General Meeting (e.g. for printing and sending shareholder notifications or for holding the Annual General Meeting), we make use of external service providers who may have access to your personal data within the scope of the tasks assigned to them. Our service provider for preparing and holding the Annual General Meeting is Computershare Deutschland GmbH & Co. KG.

In addition, we may transfer your personal data to other recipients insofar as this is required for the fulfilment of legal obligations, e.g. to authorities for the fulfilment of legal notification obligations. If you participate in the Annual General Meeting, other shareholders of Probiodrug AG may view the personal data recorded in the list of participants pursuant to sec. 129 AktG.

4. Duration of storage or erasure of personal data

We erase or anonymize your personal data as soon as they are no longer required for the purposes mentioned and there are no other legal storage obligations or justification reasons for the storage. These include storage obligations under the AktG, the German Commercial Code (HGB) or the German Fiscal Code (AO).

The retention period for data recorded relating to the Annual General Meeting is usually 3 years. Furthermore, we only store your personal data if this is necessary regarding possible claims against us.

5. Your rights

If you have any questions or complaints regarding data protection, please do not hesitate to contact us. You will find our contact details under paragraph 6 of this Privacy Notice.

This includes the right of access to your personal data under Article 15 GDPR, the right to rectification of inaccurate personal data under Article 16 GDPR, the right to erasure of your data under Article 17 GDPR, the right to restriction of processing under Article 18 GDPR and the right to data portability under Article 20 GDPR.

Right to object:

If we process your data for legitimate interests, you may object to such processing if your particular situation gives rise to reasons which are opposed to such data processing. The data processing activities shall be ceased, unless we are able to show compelling legitimate grounds which outweigh the interests, rights and freedoms of the person in question, or insofar as the data is processed for the purpose of asserting, exercising or defending legal entitlements. Please address your objection to the address given in paragraph 6 of this Privacy Notice.

If you have any comments or complaints, you also have the opportunity to contact a data protection regulatory authority. Probiodrug AG's competent data protection regulatory authority is:

Landesbeauftragter für den Datenschutz Sachsen-Anhalt, Leiterstraße 9, 39104 Magdeburg

<http://www.datenschutz.sachsen-anhalt.de>

6. Controller and Data Protection Officer

Controller for processing your personal data is:

Probiodrug AG, Weinbergweg 22, 06120 Halle (Saale),
telephone: +49 345 5559900, E-Mail: [dataprotection\[at\]probiodrug.de](mailto:dataprotection[at]probiodrug.de)

You can contact our data protection officer at:

Data Business Services Region Nord, Lawyer Christian Wolff, Schierholzstraße 27, 30655 Hannover, telephone: +49 8912501375-6, Email: [dataprotection\[at\]probiodrug.de](mailto:dataprotection[at]probiodrug.de)